



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/721,462 | 11/22/2000 | Adnan Shennib | ISM/015 |

CONFIRMATION NO. 3046
FORMALITIES LETTER

Donald R. Greene
 Post Office Box 12995
 Scottsdale, AZ 85267-2995



Date Mailed: 03/22/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$45.
 - \$45 for 5 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 465.**

*A copy of this notice **MUST** be returned with the reply.*

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H.T

Customer Service Center
 Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/05/2001 AG0101 00000065 09721462
 01 FC:201
 02 FC:205
 03 FC:203



04-03-01 H-A

03CO/A

EXPRESS MAIL LABEL NO. ET 158 717 185 US
ATTORNEY'S DOCKET: ISM/015

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#3

In re Application of:

ADNAN SHENNIB

Serial No.: 09/721,462

Filed: November 22, 2000

: Group Art Unit 2643

: Examiner: _____

Title: INTRACANAL CAP FOR CANAL HEARING DEVICES

Commissioner for Patents
U.S. Patent and Trademark Office
Washington, D.C. 20231

EXPRESS MAIL CERTIFICATE

Express Mail Label No. **ET 158 717 185 US**

Date of Deposit: **April 2, 2001**

I hereby certify that the following attached papers:

- (1) Document Transmittal (including small entity claim and fee calculation);
- (2) Executed Combined Declaration and Power of Attorney;
- (3) Executed Assignment and Recordation Form;
- (4) Copy of Notice to File Missing Parts;
- (5) Check to Commissioner for \$505.00; and
- (6) Postcard (stamped, addressed) for acknowledging receipt.

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above, addressed to the Commissioner for Patents, U.S. Patent and Trademark Office, Washington, D.C. 20231.


Donald R. Greene
P.O. Box 12995
Scottsdale, AZ 85267-2995
Tel: (480) 488-9895

Date: 04/02/01



EXPRESS MAIL LABEL NO. ET 158 717 185 US
ATTORNEY'S DOCKET: ISM/015

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

ADNAN SHENNIB

Serial No.: 09/721,462

Filed: November 22, 2000

Group Art Unit 2643

Examiner: _____

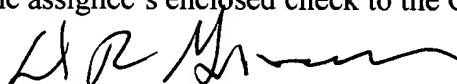
Title: INTRACANAL CAP FOR CANAL HEARING DEVICES

DOCUMENT TRANSMITTAL

1. Transmitted herewith is a response to the Notice to File Missing Parts dated 03/22/01 in this application. A copy of the Notice is enclosed herewith.
2. Also enclosed is a Combined Declaration and Power of Attorney executed by the inventor.
3. Also enclosed is an Assignment executed by the inventor together with a recordation form therefor. The inventor and his assignee are entitled to and claim small entity status under 37 CFR 1.27.

| FEE CALCULATION (Small Entity) | | | | | | |
|---------------------------------------|-----------------------------|------|-------------------------|--------------------------------|------------------|----------|
| | <u>Number of Claims</u> | | <u>Number extra</u> | <u>Rate (Small Entity)</u> | <u>Basic Fee</u> | |
| Total Claims | 25 | - 20 | = 5 | x \$9.00 | = 45.00 | |
| Indep Claims | 3 | - 3 | = 0 | x \$40.00 | = 0.00 | |
| TOTAL FEE (Basic + Extra Claims) | | | | | | \$400.00 |

4. This reply to the Notice to File Missing Parts is timely, so no extension of time is required. In addition to the total filing fee of \$400.00 for the basic fee and claims fee, a late surcharge (small entity) of \$65.00 and assignment recordation fee of \$40.00 are included in the total amount of \$505.00 of the assignee's enclosed check to the Commissioner.

By 

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Date: 03/31/2001

DRG/ISM/DOCXN.015/03311